EXPRESS MAIL NO. EL 767376804 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

VIRGIN ENTERPRISES LIMITED,

Opposer,

Opposition No.91154392

ν

KAY GUITAR COMPANY,

Applicant.

NOTICE OF FILING

PLEASE TAKE NOTICE that, in accordance with Trademark Rule 2.125(c), 37 C.F.R. § 2.125(c), opposer, Virgin Enterprises Limited, has today filed with the Trademark Trial and Appeal Board the certified transcripts of the depositions of Lori Levin-Hyams and Andrea Moreno.

Respectfully Submitted,

Dated: New York, New York

April 19, 2004

FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP

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VIRGIN ENTERPRISES LIMITED

04-21-2004

U.S. Patent & TMOfc/TM Mail Rcpt Dt. #72

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true copies of the foregoing **NOTICE OF FILING** of the certified transcripts of the depositions of Lori Levin-Hyams and Andrea Moreno was served this 19th day of April 2004 upon counsel for Applicant Kay Guitar Company, via first-class U.S. mail to:

Susan L. Ruzbasan, Esq. Corporate Counsel Asian-American Manufacturing Company and Kay Guitar Company

1 Twin Lakes Circle

Corona Del Mar, CA 92625

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2	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
3	BEFORE THE TRADEMARK TRIAL AND APPEAL BOND
4	x
5	VIRGIN ENTERPRISES LIMITED,
6	Opposer,
7	v. Opposition No. 91154392
8	KAY GUITAR COMPANY,
9	Applicant.
10	x
11	
12	DEPOSITION OF ANDREA MORENO
13	New York, New York
14	
15	Tuesday, October 28, 2003
16	
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19	
20	
21	
22	
23	DAVID FELDMAN & ASSOCIATES (USA) 575 Madison Avenue
24	New York, New York 10022 (212) 921-0771 Fax: (212) 605-0508

October 28, 2003

10:31 A.M.

DEPOSITION of ANDREA MORENO, taken by
Opposer, pursuant to notice, at the offices of
Pennie & Edmonds, 1155 Avenue of the Americas,
New York, New York, before Kathy S. Klepfer, a
Registered Merit Reporter and Notary Public of
the State of New York.

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1	

APPEARANCES

4 PENNIE & EDMONDS, LLP

5 Attorneys for Opposer

1155 Avenue of the Americas

New York, New York 10036-2711

BY: JACQUELINE M. LESSER, ESQ.

JOYCE M. FERRARO, ESQ.

1	Andrea Moreno
2	(Time noted: 10:31 A.M.)
3	ANDREA MORENO, called as a
4	witness, having been duly sworn by a Notary
5	Public, was examined and testified as
6	follows:
7	EXAMINATION BY
8	MS. LESSER:
9	Q. Would you state your name for the
10	record?
11	A. Andrea Moreno.
12	Q. And by whom are you employed?
13	A. Virgin Entertainment Group.
14	Q. And how long have you been employed by
15	Virgin Entertainment Group?
16	A. Eight years.
17	Q. And what is Virgin Entertainment
18	Group? What do they do?
19	A. It's a part of the whole of the Virgir
20	group. There's three other companies. It's
21	Virgin Megastores and Virgin Pulse I'm sorry,
22	VirginMega.com and Radio-Free Virgin.
23	Q. And those are all part of the Virgin
24	Entertainment Group?

Yes.

Α.

Andrea Moreno
Q. And what is Virgin Megastore?
A. And Virgin Megastores are the 23
stores in the United States that sell music and
DVDs and books.
Q. And what is VirginMega.com?
A. VirginMega.com is a website powered by
Amazon, and it's VirginMega.com is really a
Virgin skin over an Amazon background.
Q. Does that mean that you can purchase
products sold in the Virgin Megastores on line
through the site?
A. Yes.
Q. And what is Radio-Free Virgin, just
generally?
A. Radio-Free Virgin is a free and
fee-based Internet music streaming service.
Q. And as an employee of Virgin
Entertainment Group, do you work for one or more
of these three entities yourself?
A. I work for the megastores.
Q. And how long have you worked for the

A. Eight years.

megastores?

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Q. And what is your current job title?

- A. Senior Field Marketing Manager.
- Q. And how long have you held that position?
- A. For about two years, and before that I was Field Marketing Manager.
- Q. Can you briefly summarize your job responsibilities in your current job at the Virgin Megastore?
- A. Yes. I sell co-op advertising. I sell visibility inside the stores, inside the megastores; I do sponsorships and festivals; I do in-store events; I oversee three field marketing managers and one assistant; I look over ten of the megastores on the East Coast and the Central region.
- Q. In what states are the megastores located, if you know?
- A. Illinois, New York, Florida, Texas, New Orleans, California, Nevada, Utah and Massachusetts.
 - Q. Anyplace else? Is that it?
 - A. There's one in Canada.
- Q. You mentioned that you are responsible for co-op ads. Could you explain for the record

what a co-op ad is?

A. A co-op ad is when I sell advertising space in a Virgin Megastore creative, I sell them a spot for a record and they pay for it.

Basically, we create and book it.

(Exhibit 28, a co-op ad placed in Timeout Magazine; Exhibit 29, a co-op ad placed in the Village Voice; Exhibit 30, a co-op ad for an in-store performance; and Exhibit 31, a Village Voice campaign ad, marked for identification, as of this date.)

Q. Ms. Moreno, I'm going to put before you what have been marked as Exhibits 28, 29, 30 and 31.

Can you identify for the record Exhibit 28?

- A. Yes, that's an ad that was co-oped through home office, actually placed in Timeout Magazine.
- Q. Is this one of the co-op ads that you were speaking about a few minutes ago?
 - A. Yes.
- Q. And you mentioned it was placed in Timeout Magazine. How do you know that?

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- A. Just, I recognize it. Actually this one does say Timeout New York on it.
- Q. Does that appear to be a page torn out of the Timeout Magazine?
 - A. Yes.
- Q. And do you know what month that would have run in Timeout Magazine?
 - A. October.
 - O. And how do you know that?
- A. Our sale dates are written on the ad and it's written on the back of the magazine.
- Q. By written on the back of the magazine, do you mean it was printed --
 - A. Printed on the back page.
- Q. Okay. And you are familiar with the ad?
 - A. Yes.
- Q. And is this one of the types of co-op ads that you are responsible for?
 - A. Yes.
- Q. And how long have you been responsible at Virgin Megastore for the co-op advertisements?
- 25 A. For about six years.

- Q. And do you consider this ad representative of the types of co-op advertisements placed by Virgin Megastore?
 - A. Yes.
- Q. Are these ads placed throughout the country by Virgin Megastore, to the best of your knowledge?
 - A. Yes.
- Q. Can you look at Exhibit No. 29 and identify that for the record?
- A. Okay. This is another ad that we co-oped locally, and this was a Village Voice ad.
- Q. And when you say co-op locally, what do you mean by locally?
- A. Just we -- sometimes the home office will co-op ads that run in New York publications, but we also sell them locally, I'll sell them or my marketing manager in Union Square will sell them -- will co-op them to the vendors.
- Q. You mentioned vendors. What do you mean by vendors?
 - A. The vendors are any of our partners

that we sell their product in the stores, whether it be the music labels or distributors, the DVD distributors, the book publishers, the games companies, any line that we sell in the store.

- Q. And can you take a look at Exhibit No. 30 and identify that exhibit for the record?
- A. Okay. This is another ad that we booked locally here in New York, co-oped it for an in-store performance.
 - Q. What is an in-store performance?
- A. In-store performance is when we book a band to play live inside the stores, inside the megastores, or do an autograph signing, and we have these frequently with rock bands and different types of acts.
- Q. And as part of your job responsibilities are you responsible for in-store performances?
 - A. Yes.
- Q. So you are responsible for booking these bands for these in-store performances?
 - A. Yes.
 - Q. Do you know where that co-op ad ran?

- A. In the Village Voice.
- Q. And how do you know that?
- A. This one I just recognize, plus we actually -- oh, and there's one on the back page that we have a Voice logo; we allowed them to put a logo in the ad.
- Q. So the Voice logo is included in the ad?
 - A. Yes.

- Q. And how long have you in your current job, or in any of your jobs at the Virgin Megastores even prior to your current position two years ago, how long have you been involved in in-store promotions, booking bands for the megastore?
 - A. For over six years.
- Q. And is your role specific to one specific megastore or a group of megastores, Virgin Megastores?
- A. A group of megastores. I oversee ten megastores and I book for the three New York stores, which is Union Square, Times Square and Long Island, and then I oversee the three field marketing managers book the bands for the other

ten.

- Q. What types of bands are typically booked for these events?
- A. Mainly rock bands, performances, new artist, emerging artist or, in Times Square, famous mainstream pop bands.
- Q. And has, for the past six years,

 Virgin Megastore placed co-op ads alerting the

 public to a particular in-store performance?
 - A. Yes.
- Q. Can you take a look at what has been marked as Exhibit 31? Is that 31?
 - A. Yes.
- Q. Can you identify this document for the record?
- A. Okay. This is also a Village Voice ad. This is a co-op ad that was booked from home office, and it's known as our campaign ad.
 - Q. And what do you mean by a campaign ad?
- A. We have different monthly campaigns, and this particular month it was the \$10 sale, and within this ad I actually have a local insertion where I booked a portion of the ad myself for a book signing that we're having.

1	Andrea Moreno
2	Q. Okay. And this is a \$10 sale of what?
3	A. CDs, compact discs, and there's also a
4	DVD sale within the ad as well.
5	Q. And do you know in what publications
6	this ad ran or is running?
7	A. This is Village Voice.
8	Q. And do you know the dates of this
9	particular ad?
10	A. This was October 22nd, I believe.
11	This actually doesn't have the oh, yes, it
12	does. Sorry. The dates are on the back page,
13	and it also says Village-Voice.
14	Q. What are the dates of this ad?
15	A. The sale dates are October
16	Q. Is the sale going on now?
17	A. At 9/30 to 11/03.
18	Q. We have a few days before the sale so
19	I know to go.
20	(Exhibit 32, a Timeout ad for an
21	in-store performance of Donna D'Cruz, marked
22	for identification, as of this date.)
23	Q. Can you identify what has been marked
24	as Exhibit 32 for the record?

A. This is a Timeout ad that I booked for

an in-store performance that happened yesterday.

- Q. And who was the artist who performed?
- A. Donna D'Cruz.
- Q. And when you have specific in-store performance, are there any other promotions that are tied to the in-store performances in terms of products or --
- A. Yes. Sometimes, for example, with this one, we gave away an aromatherapy candle with purchase, but we do give-aways frequently with -- for example, like an autographed signed guitar from the bands, which if they have a new release coming out, a band will have -- recently we had Stereophonics, so one of the band members will autograph a guitar and we'll use it as an enter-to-win contest.
 - Q. Okay.

(Exhibit 33, an in-store flier, marked for identification, as of this date.)

MS. LESSER: I'm going to mark the next exhibit, which is Exhibit 33, I will represent as a flier, but because of the size of the flier and the fact that the text covers the entire flier, in order not to

obscure any of the text, we are marking into the record a true and accurate -- or, I will have the witness look at both to determine whether it is a true and accurate representation of what's on the flier.

Opposing counsel is not here to cross or physically examine at this point.

However, we will make the original available for inspection for opposing counsel should they want to visually examine the original for which they were noticed upon this deposition and could have done so today, but we will still make it available to them should they have any concerns.

But simply so that we do not obscure any of the text, we are going to try to mark into the record here a copy.

- Q. Ms. Moreno, I'm going to put before you what has been identified as Exhibit No. 33. Could you identify that --
 - A. Okay.
 - Q. -- for the record?
- A. This is an in-store flier that we have in the megastores to bag stuff or on-the-counter

displays for consumers to take, and it's touting our in-store performance. These are rock bands that perform this week in both the Union Square and Times Square locations.

Q. Are you familiar with this particular in-store --

Is it a flier?

A. Yes.

- Q. Are you familiar with this particular in-store flier?
 - A. Yes.
- Q. I will represent to you that this is -- I'm handing you now what was the actual flier and what you were looking at is a photocopy of it.

Is the photocopy which we have marked into evidence a true and accurate representation of what appears to be the actual flier?

- A. Yes.
- Q. And is this a specific -- how often do you do this specific event?
- A. This was the CMJ Music Marathon sponsorship, and as part of that we do in-store performances throughout the week. So this is a

Andrea Moreno 1 yearly thing, and we sponsored it for four or 2 five years in a row. 3 When you sponsored it, you mean the CMJ Music Marathon? 5 Α. Yes. 6 What is CMJ? 7 0. CMJ is a College Music Journal, that's 8 what it stands for, but the music marathon is a 9 festival and convention that happens every year 10 basically to promote emerging artists and 11 developing bands, independent bands, and that's 12 something that Virgin is behind. 13 Do the events relating to the CMJ 14 Music Marathon only occur at the Times Square 15 store or at other stores as well? 16 At the Union Square store as well. 17 Α. And then there are the bands that perform around 18 50 clubs around New York City between the four 19 days of the conference. 20 Would you know what the most popular 21 0. Virgin Megastore is, where it would be located? 22 That would be our flagship store,

And how do you know that? 0.

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Α.

Times Square.

Andrea Moreno 1 We get daily and weekly sales figures 2 Α. and traffic numbers. 3 What do you mean by traffic numbers? 0. Number of people walking through the 5 doors as well as the number of people buying 6 product in the stores and their sales per 7 transaction. 8 9 0. Would you know the traffic figures to the Times Square Megastore? 10 Α. I have them on a weekly and a 11 Yes. monthly basis. 12 Could you, for the record, state what 13 the monthly and weekly traffic to the Times 14 Square Virgin Megastore is? 15 Okay. For Times Square it's averaging 16 Α. 70 to 95,000 per week and 250 to 350,000 per 17 18 month. And how do you know that? 19 0. 20 Α. This is based on reports that are 21 generated to me through home office. And by home office, do you mean the 22 23 Virgin Entertainment Group?

Yes, based in LA.

24

25

Α.

0.

DAVID FELDMAN & ASSOCIATES (USA) (212) 921-0771

And do you receive these reports on a

2 regular basis?

- A. Yes.
- Q. And why do you receive these reports?
- A. I use these reports for several reasons. For the traffic reports, I look at traffic within the megastores that I oversee to gauge whether promotions are effective and when we need to concentrate on traffic-driving promotions.
- Q. And what are traffic-driving promotions?
- A. They can be anything from using outside street teams, going to clubs and sponsoring nights where we use bounce-back coupons to drive people back into the stores, could be an in-store performance.
- Q. What are bounce-back drive-back coupons?
- A. Bounce-back coupons are basically just an offer that tries to get the consumer to come into the store to use that offer in the megastores. Could be a 10 percent off or \$4 off coupon.

(Exhibit 34, Virgin Recommends

Andrea Moreno
stickers, marked for identification, as of
this date.)
Q. Ms. Moreno, you mentioned the vendors
for the megastore earlier, so I take it you are
familiar with the products sold by the Virgin
Megastore?
A. Yes.
Q. And what types of products are sold by
the Virgin Megastore?
A. Compact discs, DVDs, books, games,
accessories, and then we also sell Pulse
products, Virgin Pulse products inside the
stores and Virgin Mobile products.
Q. What are Virgin Pulse products?
A. It's a line of electronics, affordable
electronics.
Q. Are they produced by the Virgin
Entertainment Group or Virgin Megastores?
A. No.
Q. How is it that they use the name and

A. It's Virgin Pulse and Virgin Mobile are part of the Virgin group as a whole as well as Virgin Entertainment Group is one of the

mark Virgin, do you know?

Virgin groups.

- Q. Are all of the products that are sold by the Virgin Megastore marked with any types of labels or other indications that they have been purchased at the Virgin Megastore?
 - A. Yes.
- Q. I'm going to place before you what has been marked as Exhibit No. 34. Can you identify this exhibit for the record?
- A. Yes, these are Virgin Recommends stickers placed on a line of CDs that we carry and recommend and Virgin Recommends is also sort of a promotion that we do.
 - O. And who makes these recommendations?
 - A. The Virgin staff.
- Q. And are you familiar with those particular labels?
- A. Yes.
- (Exhibit 35, Virgin sale price stickers, marked for identification, as of this date.)
 - Q. I'm going to place before you what has been identified as Exhibit No. 35. Can you identify this for the record?

- A. Yes, these are Virgin sale price stickers that we use in the megastores for sale product.
- Q. And on what types of products do you place these stickers?
- A. This could be on any of our products, books, CDs, DVDs, games, accessories.
- Q. You mentioned books. What types of books are sold at the Virgin Megastore?
- A. We have all types of books, graphic novels, fiction, non-fiction, music books and magazines.
- Q. When you say music books, are you -- what types of books are you talking about?
- A. We have a full section of guitar books. We have all kinds of for piano and vocals, whatnot. But there's a full line of guitar books, whether it be instruction or history of. We have sheet music as well.

(Exhibit 36, a Virgin book on Virgin Publishing, marked for identification, as of this date.)

Q. I'm going to place before you what has been marked as Exhibit No. 36. Can you identify

this exhibit for the record?

- A. Yes, this is a book that I brought and bought that we carry at the Virgin Megastores, and it's a Virgin book on Virgin Publishing.
- Q. You say brought and bought. Where did you bring this from, or, rather, where did you buy this from?
- A. I bought this from the Times Square Virgin Megastore yesterday.
- Q. Is this a book that's carried by the Virgin Megastore?
 - A. Yes.
- Q. And you mention that it's, I think, has a Virgin imprint on it. What do you mean by that?
- A. It's identified as a Virgin book, so it's out of Virgin Publishing, and there's a line -- there's a series of books that are called the Virgin Encyclopedia that they have and that we carry in the stores.
 - MS. LESSER: I would like to state for the record that we are going to enter into evidence the cover of this book and the preface for this book, but out of

convenience for the Board and to save space, we are not going to enter the complete book into the record.

I will show the witness the book so she can authenticate the exhibit, and although opposing counsel has chosen not to appear at this deposition and examine these exhibits at this time, we will let opposing counsel know that they are, of course, free to come and examine the actual copy of the book, which will remain in our offices, and will of course receive the facsimile copy of the pages, which we will submit in view of the trademark trial and Appeal Board's preference for flat exhibits and exhibits that are not bulky if there is no specific relevance to the bulk of the exhibit.

Q. So, with that in mind, Ms. Moreno, could you -- I'm going to put before you what I will represent as the book that you brought with you, and if you can take a look at the book and the marked exhibit and state for the record whether the exhibit is a true and accurate representation of the cover of the book and the

Andrea Moreno 1 2 preface for the book. Α. Yes. 3 (Exhibit 37, a Lenny Kravitz cassette, and Exhibit 38, a Mike Oldfield CD, marked for identification, as of this date.) You mentioned earlier that Virgin 7 0. Megastore also carries CDs and other music. Can 8 you identify for the record what has been marked 9 as Exhibits Number 37 and Number 38? 10 This is a Lenny Kravitz cassette 11 Α. Yes. and Mike Oldfield CD, and we carry them both in 12 our megastores. 13 You earlier mentioned, when we were 14 Ο. discussing co-op advertisements, record labels. 15 What do you mean by record labels? 16 Well, for example, these are both on 17 the Virgin record label. There are five major 18 distribution companies with labels under their 19 umbrella, so this would be under the EMD 20 21 umbrella, Virgin Records. Does the Virgin Megastore carry all of 22 the five major labels? 23

Α.

Q.

24

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Yes.

Including the Virgin label?

A. Yes.

(Exhibit 39, a guitar book, marked for identification, as of this date.)

- Q. You mentioned earlier that the Virgin Megastore sells a line of books, including guitar books, specifically. Can you identify what has been marked as Exhibit 39 for the record?
- A. Yes, this is a sampling of one of the -- it's a little kind of book that's shaped like a guitar fret that was purchased from the Virgin Megastore, Times Square Virgin Megastore, on the 24th, and it's an example of one of the types of guitar books that we carry.

MS. LESSER: I'm also going to state for the record that, because of the bulk of this exhibit, we are putting into evidence a facsimile of the front of the exhibit and the sales receipt showing the purchase date to which the witness has just testified, and we'll indicate to opposing counsel, who has chosen not to be here for the deposition, that they may examine the actual product, which will remain in our offices.

- Q. Ms. Moreno, can you take a look at the product and the receipt and examine it, look at the copy that has been marked into evidence to determine whether or not the copy is a true and accurate representation of the actual guitar book?
 - A. Yes, it is.

(Exhibit 40, The Incredible Guitar Songbook, marked for identification, as of this date.)

- Q. I'm going to place before you what has been marked as Exhibit No. 40. Can you identify this exhibit for the record?
- A. This is another book that I purchased yesterday and brought in from the Virgin Megastore Times Square. It's another example of our section for guitar books.
 - Q. And what is this particular book?
- A. It's The Incredible Guitar Songbook, and it has sheet music inside.
- Q. Do you know how long the megastore has had a section for guitar and sheet music?
- A. I believe since we've opened, and that's been eight years since the Times Square

store has been opened, but then the megastores in general since the Los Angeles opening, which I believe was in '94 or '91. Actually, I'm not sure about that date.

- Q. But it was in the early 1990s?
- A. Yes.

(Exhibit 41, Guitar Magazine; Exhibit 42, Guitar Player; Exhibit 43, Guitar World; and Exhibit 44, Guitar One, marked for identification, as of this date.)

Q. I'm going to place before you what has been marked as Exhibits No. 41 through 44.

Can you identify this group of exhibits for the record?

- A. Yes, these are magazines that I purchased yesterday from the Times Square Virgin Megastore with a receipt, and this is just a small example of another section of the book department that has guitar magazines, instructions and just -- it's a Guitar Magazine, Guitar Player, Guitar World and Guitar One magazines.
- Q. And I take it that those were the titles of the magazines that have been

1	Andrea Moreno
2	identified as from Exhibits 41 through 44?
3	A. Yes.
4	Q. Do you know whether these magazines
5	are carried by the Virgin Megastore on a regular
6	basis?
7	A. Yes.
8	Q. Why does the Virgin Megastore carry so
9	many guitar magazines?
10	A. Because we actually cater to clientele
11	within the age demographic 18 to 25, male, which
12	includes lots of guitar players, musicians.
13	Q. You mentioned earlier that among the
14	products sold at the Virgin Megastore are
15	products of Virgin Pulse and Virgin Mobile; is
16	that correct?
17	A. Yes.
18	(Exhibit 45, a Pulse promotional item,
19	marked for identification, as of this date.)
20	Q. I'm going to place before you what has
21	been marked as Exhibit No. 45. Can you identify
22	this exhibit for the record?
23	A. Yes. This is a Pulse promotional item
24	that we give away to consumers.
25	Q. So the promotional item is available

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1	Andrea Moreno
2	at the Virgin Megastore?
3	A. Yes.
4	Q. Does that represent the line of Virgin
5	Pulse products carried by the megastore?
6	A. Yes.
7	Q. And do you know whether or not that
8	promotional piece is available at the megastore
9	now?
10	A. Yes, I brought this from the Times
11	Square store, and I know that we've just
12	launched the Pulse products last week so they're
13	in each of the 23 Virgin Megastores.
14	(Exhibit 46, a Virgin Mobile pamphlet,
15	marked for identification, as of this date.)
16	Q. Okay. I'm going to put before you
17	what has been marked as Exhibit No. 46. Can you
18	identify this exhibit for the record?
19	A. Yes, this is a Virgin Mobile pamphlet
20	that is also available in the Virgin Megastores
21	near the Virgin Mobile product, and we use these
22	for consumers to take just for information.
23	Q. Does that represent the line of Virgin
24	Mobile products available at the Virgin

25

Megastore?

1	Andrea Moreno
2	A. Yes.
3	Q. And do you know whether that's
4	available at the megastore now?
5	A. Yes.
6	Q. And do you know how long those
7	products have been available in the megastore?
8	A. Since the Virgin Mobile launch, and I
9	believe it's been a year, over a year now.
10	(Exhibit 47, a Virgin Megastore
11	shopping bag, marked for identification, as
12	of this date.)
13	Q. I'm going to put before you what has
14	been marked as Exhibit No. 47. Can you identify
15	this exhibit for the record?
16	A. Yes, this is a Virgin Megastore
17	shopping bag used to put products that are
18	purchased by consumers.
19	Q. And are you familiar with that
20	shopping bag?
21	A. Yes.
22	Q. Is that the current shopping bag used
23	by the company?
24	A. Yes.

Q. And how do you know that?

A. I frequently use them. I brought this one from the megastore myself yesterday.

MS. LESSER: We can go off the record now.

(Recess; time noted: 11:27 A.M.)
(Time noted: 11:33 A.M.)

BY MS. LESSER:

- Q. Ms. Moreno, you mentioned that Virgin Entertainment Group also owned the VirginMega.com site; is that correct?
 - A. Yes.
 - Q. And what is VirginMega.com?
- A. VirginMega.com is a website that is powered by Amazon.
 - Q. And is it a retail site?
- A. Yes.
- Q. And what is sold on that site?
 - A. Everything that we carry in the Virgin Megastores, or we also have, since its powered by Amazon, if you put in another product that we don't carry, it takes you to a menu where you could be linked to a sponsor that -- or a partner that does carry that product and you can buy something on line.

(Exhibit 48, VirginMega.com page, marked for identification, as of this date.)

- Q. I'm going to put before you what has been marked as Exhibit No. 48. Can you identify that exhibit for the record?
- A. Yes. This is the VirginMega.com page that you enter when you just put in VirginMega.com.
- Q. And I believe that you referred to before other -- that you referred to the fact that this was powered by Amazon. Does the fact that it's powered by Amazon appear anyplace on the download to the VirginMega.com site?
- A. Yes, on the home page it says VirginMega.com powered by Amazon.com.
- Q. And that's listed on the exhibit that you are looking at?
 - A. Yes.

- Q. You also indicated that there are other partners on the Amazon.com site; is that correct?
 - A. Yes.

(Exhibit 49, a page from the VirginMega.com site, marked for

identification, as of this date.)

- Q. I'm going to put before you what has been marked as Exhibit 49. Can you identify this exhibit for the record?
- A. Yes. This is an example of, I punched in guitars to the VirginMega.com site and it took me to -- since we don't sell guitars inside the stores, it took me to this page, which gives me a menu of different categories to choose from.
- Q. And are guitars available by one of the Amazon.com partners?
- A. Yes. After this link, it took me to a sponsor partner that does carry guitars and sell guitars on line.

(Exhibit 50, a page from the Musician's Storehouse.com website, marked for identification, as of this date.)

- Q. Okay. I'm going to place before you what has been marked as Exhibit No. 50.
 - A. So this is the --
- Q. Can you identify that exhibit for the record?
 - A. Yes. This is the page that I clicked

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on when I just put "guitars" in the search engine and it gives me a choice of sponsored links. So I clicked on the first one, which is the Musician's Storehouse.com.

(Exhibit 51, a page from the Musicians' Storehouse.com website for guitars, marked for identification, as of this date.)

- Q. I'm going to place before you what has been marked as Exhibit No. 51 for the record.

 Could you identify this exhibit for the record?
- A. Yes, and this is the page that I went to for Musicians' Storehouse.com, which has a variety of guitars available on-line that I could buy.
- Q. So, to summarize this, if one were to go on to the VirginMega.com site and search for the guitars, would they be able to find guitars?
- A. Yes, within two clicks you would be on a site that sells guitars on-line.
- Q. I believe earlier you mentioned VirginMegaMagazine.com. What is VirginMegaMagazine.com?
 - A. VirginMegaMagazine.com is basically

Andrea Moreno

editorial, and it has -- includes Web pages for the store, individual megastores, which ties our in-store events and our promotions, if we have give-aways, and there's reviews on there as well, and we don't sell product on that end.

- Q. Do you use VirginMegaMagazine.com to promote the actual bricks and mortar stores?
 - A. Yes.
 - Q. So are you familiar with that site?
 - A. Yes.

(Exhibit 52, the home page for VirginMegaMagazine.com, marked for identification, as of this date.)

- Q. I'm going to put before you what has been marked as Exhibit No. 52. Can you identify that exhibit for the record?
- A. Yes. This is a VirginMegaMagazine.com. When you click off of the home page for VirginMega.com there's a link to go to the VirginMegaMagazine, and this is the page that you would enter.
- Q. Is that a true and accurate representation of a download of the VirginMegaMagazine.com site?

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1	Andrea Moreno
2	A. Yes.
3	Q. You earlier in this deposition
4	mentioned various promotions, including guitar
5	giveaways; is that correct?
6	A. Yes.
7	Q. Do you advertise or promote or list,
8	rather, such promotions on the
9	VirginMegaMagazine.com site?
10	A. Yes, regularly.
11	Q. So marketing and promotional events
12	would be listed on the site?
13	A. Yes.
14	Q. And that's in addition to any co-op
15	advertising, fliers, and other type of marketing
16	and advertising done for the Virgin Megastore?
17	A. Yes.
18	(Exhibit 53, a listing for a megastore
19	event, marked for identification, as of this
20	date.)
21	Q. I'm going to show you what has been

Q. I'm going to show you what has been marked as Exhibit -- we've marked as Exhibit No. 53. Can you identify this exhibit for the record?

A. Yes, this is a listing for a megastore

Andrea Moreno 1 2 event. It was clicked on one of the store pages and it goes over our promotions, and in this 3 example there's a "Win a Stereophonics guitar" 4 enter-to-win promotion celebrating the new album 5 by that band, "You Got to Go There to Come 6 Back," and we're giving away an autographed 7 signed guitar. 8 And is this an example of what you 9 earlier had referred to as give-aways of 10 11 quitars? 12 Α. Yes. And does Virgin Megastore routinely 13 Q. give away guitars? 14 Α. Yes. 15 (Continued on the next page.) 16 17 18 19 20 21 22 23 24

	٥.
Andrea Moreno	
Q. And is that specific to the Virgin	
Megastore Times Square or something that's done	
by Virgin Megastores generally?	
A. This is, in general, all 23 Virgin	
megastores give away guitars.	
MS. LESSER: Ms. Moreno, thank you	
very much.	
THE WITNESS: You're welcome.	
(Time noted: 11:49 A.M.)	
ANDREA MORENO	
ANDINETT HONERO	
Subscribed and sworn to	
of April 2004.	
BUNDHAM PUND	
ELICADETH BIDO	
Notary Public, State of New York No. 01Pi6098275	
Qualified in Queens County Commission Expires 00/04/2007	
	Q. And is that specific to the Virgin Megastore Times Square or something that's done by Virgin Megastores generally? A. This is, in general, all 23 Virgin megastores give away guitars. MS. LESSER: Ms. Moreno, thank you very much. THE WITNESS: You're welcome. (Time noted: 11:49 A.M.) Subscribed and sworn to before me this 15 day of April 2004. LUALLY PUW Notary Public, State of New York No. 01916098275 Dualified Busens County



No. 01P1603cc13

Qualified in Queens County

Commission Expres Colon/2007

CERTIFICATE

STATE OF NEW YORK)
: ss
COUNTY OF NEW YORK)

I, Kathy S. Klepfer, a Registered

Merit Reporter and Notary Public within and

for the State of New York, do hereby

certify:

That ANDREA MORENO, the witness whose deposition is herein before set forth, was duly sworn by me and that such deposition is a true record of the testimony given by such witness.

I further certify that I am not related to any of the parties to this action by blood or marriage and that I am in no way interested in the outcome of this matter.

In witness whereof, I have hereunto set my hand this 17th day of November 2003.

KATHY SU KLEPFER, RMR

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Exhibit 53, listing for megastore event

1								
2	NAME (OF CASE	: Virgi	n Enterpri	ses Ltd.	v.	Kay	Guitar
3	DATE (OF DEPO	SITION:	October 2	8, 2003			
4	NAME (OF WITN	iess: An	IDREA MOREN	O ·			
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				ANDRE	A MORENC)		

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2	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
3	BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
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5	VIRGIN ENTERPRISES LIMITED,)
6)
7	Opposer,)
8)
9	vs. DUPLICATE
10	ORIGINAL
11	KAY GUITAR COMPANY,)
12)
13	Applicant.)
14)
15	
16	
17	DEPOSITION OF LORI LEVIN-HYAMS
18	New York, New York
19	Wednesday, October 29, 2003
20	
21	
22	DAVID FELDMAN & ASSOCIATES (USA)
23	575 Madison Avenue
24	New York, New York 10022
25	(212) 921-0771 Fax: (212) 605-0508

October 29, 2003

10:20 a.m.

Deposition of LORI LEVIN-HYAMS, held at the offices of Pennie & Edmonds, LLP, 1155 Avenue of the Americas, New York, New York, 10036 pursuant to Subpoena, before William Byrne, a Notary Public of the State of New York.

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2	APPEARANCES:	
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4	PENNIE & EDMONDS, LLP	
5	Attorneys for Opposer	
6	1155 Avenue of the Americas	
7	New York, New York 10036	
8	BY: JACQUELINE M. LESSER, ESQ.	
9	And	
10	JOYCE M. FERRARO, ESQ.	
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2	LORI LEVIN-HYAMS, called as a
3	witness, having been duly sworn by a Notary
4	Public, was examined and testified as
5	follows:
6	EXAMINATION BY
7	MS. LESSER:
8	Q. Can you state your name for the
9	record.
10	A. Lori Levin-Hyams.
11	Q. Would you spell that, please.
12	A. L-o-r-i L-e-v-i-n - H-y-a-m-s.
13	Q. By whom are you employed?
14	A. I'm employed by Virgin Management.
15	Q. What is your job title for Virgin
16	Management?
17	A. I'm vice president of corporate
18	affairs for the Virgin group of companies in the
19	U.S.A.
20	Q. Can you briefly summarize your job
21	responsibilities?
22	A. Sure. I oversee publicity in the
23	United States. I oversee publicity for the brand
24	and for Sir Richard Branson, B-r-a-n-s-o-n.
25	Q. How long have you been with Virgin?

- A. I have worked with Virgin since 1985.

 I have been employed by Virgin since 1987.
 - Q. By whom were you employed in 1985?
- A. I was worked at a public relations firm called the Press Office.
- Q. So since 1985 have you done press and P&R for the Virgin group?
 - A. Yes.
- Q. And prior to 1985, can you briefly summarize your work experience?
- worked at a company call Norby Walters, N-o-r-b-y W-a-l-t-e-r-s, and I would go on the road and work with various bands that were big name bands in the early '80s. And from there I went to the Press office. I did some music management, I managed a couple of artists in the early '80s, and from there I went to the Press office, where I did public relations for groups, such as Kiss, South Side Johnny and John Para and various other clients. It was a rock and roll music oriented public relations firm.

And in 1984 Richard Branson began Virgin Atlantic Airways, and he used the Press

ne was beginning a rock and roll airline expanding his music groups and the brand or introducing the brand formally into the United States. I worked on that account in 1985 when Richard did his first or second attempt to cross the Atlantic by speed boat, I worked on that. And then from there I worked on all his other ventures he was doing in America, the start-up of the airline flying out of Miami. I was involved in his balloon flights. And in 1987 he made me an offer to come and work directly for him. At the time he was I did not know he was setting up Virgin Records in America, and he wanted me to head up the publicity for Virgin Records.

I was hired by the airline Virgin

Atlantic Airways and found out later on I was in
the wrong place, but I stayed there.

- Q. What do you mean you were in the wrong place?
- A. It was supposed to be a Virgin

 Records, but because of the way he approaches

 business and because music was the root of

 everything that he was doing and the way we were

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promoting and publicizing Virgin Atlantic Airways, it turned out to be the right fit at the right And I represented Virgin Atlantic Airways and Sir Richard Branson. I was there until about 1995, and my primary responsibilities were Virgin Atlantic Airways, Press, Sir Richard Branson, Press and to work on the numerous challenges he was doing with his balloon flights, an attempt to fly around the world nonstop in a hot air balloon. I worked on the Virgin Atlantic flyer, the crossing of the Atlantic by hot air balloon and the Pacific flyer. And all of these were done as a large part to promote the brand and began publicity for Sir Richard and Virgin in the U.S., where I was just starting to grow his companies.

In '95 I left Virgin Atlantic Airways and joined Virgin Management to become part of his core team on development of the brand in the U.S. and the publicity, and my role changed in that I no longer did the day-to-day press for Virgin Atlantic Airways, but I was in charge of all of Sir Richard Branson publicity. And at that point he was starting up new companies and involved in the launchings of all the new companies he was

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L. Levin-Hyams

getting involved in.

- What were those companies? Q.
- I was with Virgin Atlantic Airways, which was the first major company in the United States. Virgin Records began, I believe, in 1986, and I worked with them, but I did not work on the product there. From there they started Virgin retail, the Virgin entertainment group, which was the very beginning mega store, the first store was in 1992, I believe, I think lost its lease. And I worked on the opening of that store, the publicity around it and the events at the store.

And from there the brand quickly began to grow, and where he expanded to Virgin Entertainment stores throughout the U.S. After that was we had a small airline that didn't do that well called Vantage Airlines, which was -- it was sort of a travel adventure back in time between Key West and Orlando, and it was done with 1940s style plans. And everything was happening on D Day. It was a great venture, but not very successful, so it didn't last.

Then there was Virgin Sound and Vision Virgin games, Virgin various companies in the U.S.

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L. Levin-Hyams

that I was involved with peripherally.

- Q. You mentioned before the Virgin Entertainment Group.
 - A. Yes.
- Q. As part of your job responsibilities, either as VP corporate affairs or in your prior positions with Virgin, were you involved with or responsible for the placement of press or articles to the press?
- A. Yes. Initially I was involved in the opening of all the stores I worked on. Normally we would do some type of rather sensational publicity stunt, photo opening, using Sir Richard, and we would do big parties with super stars and major artists in the music industry and reaching out to the people in the industry and the general public, and I would be involved in the planning and the implementation of that event. And securing press for it.

MS. LESSER: Off the record.

(Discussion off the record.)

(Exhibit 54, document, marked for identification, as of this date.)

Q. I'm going to put before you what has

1	L. Levin-Hyams
2	been marked as Exhibit Number 54.
3	Can you identify this exhibit for the
4	record?
5	A. Yes. It is an article that ran in the
6	Las Vegas Review Journal and Las Vegas Sun, and it
7	is talking about the opening of Virgin mega store
8	in Las Vegas. And I was involved in this. I
9	don't have the exact date.
10	Q. And do you have copies of this article
11	anyplace in your offices?
12	A. Yes, I do. I keep an archive of all
13	the press I have secured during my years at
14	Virgin.
15	Q. Would this be one of the articles you
16	keep?
17	A. Absolutely.
18	Q. Do you recognize this article?
19	A. Yes, I do, actually, I remember it,
20	and I and Adam.
21	Q. When you say "Adam"
22	A. I remember Adam.
23	Q. Who is that?
24	A. Adam Steinhauer, S-t-e-i-n-h-a-u-e-r.
25	O. Today what are the primary companies

1	L. Levin-Hyams
2	within the Virgin group?
3	A. In the U.S.?
4	Q. Yes?
5	A. We have Virgin Atlantic Airways, which
6	is headquartered in Norwalk, Connecticut, and the
7	airline flies out of JFK, Newark, Boston, Orlando,
8	Miami, Washington, San Francisco, Los Angeles and
9	Las Vegas. I think that's everything. And then
10	we have V2 Records, which is a small independent
11	label that is based in New York City and has such
12	artists as Moby, the White Stripes, Granddaddy.
13	And we have Virgin Mobile, which is based more in
14	New Jersey, which is based in Warren, New Jersey,
15	W-a-r-r-e-n, and that is our mobile phone company
16	and it has targeted the youth market and using
17	music as the main vehicle.
18	We have the Virgin Entertainment
19	Group, which is headquartered in Los Angeles with
20	I will say, approximately 21 to 24 stores
21	throughout the U.S. I can't recall exactly all
22	the locations off the top of my head.
23	Do you want me to give you as many as

Q. No.

I can?

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We have Virgin U.S.A. which is a Α. company that's based in New York which is looking for joint venture opportunities, licensing opportunities to expand the brand in America, which we are doing at this time. The brand is at a knew phase in its development, and I think that all eyes are on America. This is going to be the greatest growth market for the brand in the world. Sir Richard is completely committed to making this brand the biggest lifestyle brand in America at this time. With success of Virgin Mobile that has shown him just all the potential in America. we just launched two weeks ago a new company called Virgin Pulse, which takes us into a whole It's a company of new direction in the U.S. electronic consumer goods also targeting our music and going back to our roots, targeting the entertainment industry and music consumers. about 25 products in the line. And what's most exciting about this company from internal brand perspective, it's the first time we started a new company in America without it being another company that was successful prior in the UK or elsewhere in the world. And based on the success

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of Virgin Pulse in America, it will expand globally.

We also have a small baby company in San Francisco called Virgin Limousines, which is primarily for our Virgin Atlantic customers, and that also has a potential to expand beyond San Francisco.

- Q. Do you do public relations for all of these entities?
- I do -- I am overseeing -- I'm Α. involved with all of these companies. I am not -each company has their own PR manager or director, and they are involved in the day-to-day of each company, and they are involved with the product that they are representing with or selling and they are specialist in that area. I am much more of a specialist in the virgin brand and Sir Richard Branson, but I would not be the spokesperson for any particular company. overseeing the brand and Sir Richard Branson primarily. I liaison between all the companies. I communicate internally and externally, and when there is opportunity that's more of a brand story than a story about Virgin Mobile or story about

Virgin Atlantic, then I will be involved in that process and will be the spokesperson on that.

- Q. By overseeing, is there a uniform image for the brand in all these entities?
- A. Yes. What we try to do is stay true to our core values, and that is that we do things differently, that they are fun, that we are giving consumers greater value for their money, and that when we see something in the marketplace that we feel we could do better, we never are afraid to take on a challenge and try. We historically have been a very youth-oriented brand, and we are becoming more of a lifestyle brand now, and it's more attitude than age. It's about having fun, taking care of your employees first, then your customers will be happy. The companies are privately-held so --
- Q. I would like to put before you what we will mark as Exhibit 55, which is identified as the Virgin reel 2003. I'm sorry.

(Exhibit 55, document, marked for identification, as of this date.)

Q. Can you identify this exhibit for the record?

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That's the Virgin reel 2003, and what Α. this is is a video that we use internally for new employees and also for public speaking events to show the breadth of the brand to show what it was, what it's becoming and where it's going, and to capture the whole field of what the brand is about in the U.S. and globally. It's a reel that we have a department in the UK where they oversee the brand to make sure that all the companies are in sync and stay true to the values, and it's sort of an internal teaching tool for new employees so they can see just what Virgin means and what it's about, and it's used -- it gets updated fairly frequently, probably every six months or so, to add the new companies that are coming on. Basically it's a montage of commercials, promotional stunts, things of Sir Richard and events that we have done and products and advertising done to really good music. It's very fast and captures the feel of Virgin brand.

- Q. Is this used in the U.S.?
- A. Yes. And worldwide.
- Q. I will play the video so you can view this.

1	L. Levin-Hyams
2	A. Yes.
3	Q. Are you familiar with the Virgin reel
4	2003 video? Did you help prepare the video?
5	A. Well, some of the footage that is in
6	there from events and things I have done, so I
7	supplied footage, but I wasn't involved in the
8	production of it.
9	Q. Do you maintain copies of the videos
10	in your offices?
11	A. Yes.
12	Q. We just viewed what I would represent
13	to were the videos.
14	Does that look to you to be a true and
15	accurate copy of the video as you know it?
16	A. Absolutely.
17	Q. You mentioned the focus of the brand
18	in terms of image.
19	Is there a primary industry focus of
20	the Virgin brands in the U.S.?
21	A. I would say entertainment music and
22	its evolving into lifestyle, but I think music is
23	an underlying part of everything that we do in
24	entertainment, yes.
25	Q. What types of public relations

1	L. Levin-Hyams
2	events are you involved in placement of public
3	relation events?
4	A. Yes.
5	Q. What types of public relation events
6	have been placed involving music and entertainment
7	overall, or is this something that would be done
8	by an individual brand?
9	A. It depends on what they are trying to
10	achieve if Sir Richard is involved. Can you be a
11	little more specific?
12	Q. You mentioned that one of the most
13	recent companies is Virgin Pulse.
14	Were you involved in any promotional
15	events with that?
16	A. Yes, I actually headed up the whole
17	launch on Virgin Pulse as far as the publicity,
18	the media coverage that we garnered, and we did a
19	party and event the evening of October 15 that I
20	had organized.
21	Q. Did you also work in preparation of
22	literature regarding Virgin Pulse?
23	A. I worked on the press release and the
24	press packs. I did not work on the specific
25	product information.

1	L. Levin-Hyams
2	MS. LESSER: Off the record.
3	(Discussion off the record.)
4	MS. LESSER: I will ask this be marked
5	as Exhibit 56.
6	(Exhibit 56, document, marked for
7	identification, as of this date.)
8	Q. I am going to put before you what we
9	have marked as Exhibit Number 56.
10	Can you identify this exhibit for the
11	record?
12	A. Yes, I can. It's the Virgin Pulse
13	press pack that we gave out to the media that
14	attended our event on October 15.
15	Q. And what is the purpose of giving out
1.6	press packs to the media?
17	A. It was to educate them about the new
18	product launch, but we actually started reaching
19	out to the media in July, when we were working in
20	July of 2003, and we did a press trip to Necker,
21	N-e-c-k-e-r, Island in early August, took media
22	down there, and then did desk side interviews with
23	key editors that cover electronic consumer goods
24	in the main consumer press in August. And all of
25	this was leading up to the announcement

September 8 that the product would be rolling out into the stores through Target, and Virgin may stores. And then we, once the product was in place, we had a party October 15, and we did a press event to announce the product was completely rolled out in Manhattan, and we had -- Sir Richard Branson was a part of this, and during that day we had a full day media, and we did The Today Show, a Charlie Rose and ABC News, CNN, New York Times round table and various other media.

- Q. What types of products are sold under the Virgin Pulse trademark?
- A. It's a consumer electronics line.

 There's approximately 15 products in the line, MP three player disk, CD player, a DVD player, that is CD player that can be played in a car. Phones and walkie-talkies, CD roms and various other products. That's about it. There are two versions of MP three player head phones -- there's two versions of MP three player. And that's about it.
- Q. You mentioned that the primary industry focus in the U.S. is entertainment and music.

L. Levin-Hyams

- A. Yes.
- Q. Does Virgin sell instruments in the U.S.?
 - A. Not to my knowledge.
- Q. Do they sell instruments anywhere else?
- A. I believe in the Oxford store in London they are now selling musical instruments there, and they are also, I believe they also have lessons, music lessons there to come and learn how to play guitars and other instruments.
- Q. What is the purpose of having lessons and selling instruments to the Oxford Street store? You mean Oxford London?
- A. Yes. The purpose is that Virgin's constantly reinventing itself and trying to do better, so they are changing. We are staying true to our core values and the roots of music and natural extension of the brand toys, actually bring the instruments into that music environment where people are coming to buy CDs or whatever, so it made sense to try to add another dimension to the stores. So that's the first store that we are doing it at. I have been told it's very

1	L. Levin-Hyams
2	successful, and they are looking at possibly doing
3	it in the United States as well. It's basically a
4	natural brands extension. And the only thing
5	the question is why we hadn't done it sooner.
6	Q. And is this something that if and when
7	it's brought to the U.S. you would be involved in
8	rolling out this extension?
9	A. Yes, I would be involved in that as
10	well.
11	MS. LESSER: Thank you very much.
12	(Time noted: 11:05 a.m.)
13	
14	
15	douber Ham
16	L. Levin-Hyams
17	
18	
19	Subscribed and sworn to before me
20	this day of April, 2008.
21	//////////////////////////////////////
22	
23	
24	MARTIN SENOFF
25	Notary Public, New Jersey My Commission Expires May 22, 200

1 CERTIFICATE 2 STATE OF NEW YORK) 3 : ss. 4 COUNTY OF NEW YORK 5 6 I, WILLIAM BYRNE, a Notary Public 7 within and for the State of New York, do 8 hereby certify: 9 That LORI LEVIN-HYAMS, the witness 10 whose deposition is hereinbefore set forth, 11 was duly sworn by me and that such 12 deposition is a true record of the testimony 13 given by the witness. 14 I further certify that I am not 15 related to any of the parties to this action 16 by blood or marriage, and that I am in no 17 way interested in the outcome of this 18 matter. 19 IN WITNESS WHEREOF, I have hereunto 20 set my hand this 17th day of November, 2003. 21 22 23 24 25

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4	LORI LEVI	N-HYAMS	MS. LESSER	3	
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